FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

STATEMENT	<b>OF CHANGES</b>	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL		
OMB Number:	3235-0287	
Estimated average burden		
hours per response:	0.5	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) NeuroMetrix, Inc. [ NURO ] Gozani Shai Director X 10% Owner Officer (give title Other (specify X below) below) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) 05/27/2021 President & CEO C/O NEUROMETRIX, INC. **4B GILL STREET** 6. Individual or Joint/Group Filing (Check Applicable Line) 4. If Amendment, Date of Original Filed (Month/Day/Year) (Street) Form filed by One Reporting Person **WOBURN** 01801 MA Form filed by More than One Reporting (Citv) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 7. Nature of o. Transaction Execution Date, Date (Month/Day/Year) Indirect Beneficial Securities Beneficially if any (Month/Day/Year) Code (Instr. (D) or Indirect Owned Following 8) (I) (Instr. 4) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Amount Price Code Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

# or Disposed of (D) (Instr. 3, 4 and 5)

Transaction

Code (Instr. 8)

Code

(Month/Day/Year) Acquired (A)

(D)

5. Number of

(A)

6. Date Exercisable and **Expiration Date** 

Expiration

Date

Underlying Derivative Security (Instr. 3 and 4)

Title

7. Title and Amount 8. Price of Derivative Security (Instr. 5)

Amount

Number of Shares

9. Number of derivative Ownership Securities Beneficially Form: Direct (D) Owned Following Reported or Indirect (I) (Instr. 4) Transaction(s)

(Instr. 4)

of Indirect Beneficia Ownership (Instr. 4)

11. Nature

#### Stock Option (right to \$2.92(1) 05/27/2021 171,014 05/27/2021(2) 05/27/2031 171,014 \$2.92 396,014 A D buy Stock Stock)

Date Exercisable

## **Explanation of Responses:**

1. Nasdaq closing price on May 26, 2021

Conversion

or Exercise Price of

Derivative

Security

2, Vesting schedule, 50% on grant date and 25% on September 30, 2021 and December 31, 2021.

3. Transaction

(Month/Day/Year)

# Remarks:

1. Title of

Derivative

Security (Instr. 3)

/s/ Thomas T. Higgins, Attorney-in-fact

06/04/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3A. Deemed

**Execution Date** 

if any (Month/Day/Year)

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.