SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* HIGGINS THOMAS T					2. Issuer Name and Ticker or Trading Symbol <u>NeuroMetrix, Inc.</u> [NURO]									(Che	elationship o ck all applic Director Officer	able)	g Pers	on(s) to Issi 10% Ov Other (s	vner	
(Last) (First) (Middle) C/O NEUROMETRIX, INC.					3. Date of Earliest Transaction (Month/Day/Year) 05/02/2023										below)		below) President, CFO		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
4B GILL STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Inc	6. Individual or Joint/Group Filing (Check Applicable					
(Street) WOBUR	NN N	1A	01801										Žine)							
(City)	(9	State)	(Zip)																	
		Та	ble I - Noi	1-Deriv	ative S	ecuri	ties A	cqu	uired,	Dis	oosed of	, or B	ene	ficially	Owned					
				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		,	3. Transaction Code (Instr. 8)					(A) or 3, 4 and	Securitie Beneficia	5. Amount of Securities Beneficially Owned Following		: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) (D)	or	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common Stock					2/2023				М		3,983		A \$1.39		51,851		D			
Common Stock				05/02	2/2023				F		1,014 D		\$1.39	50,	50,837		D			
			Table II -								osed of, onvertib				Owned					
1. Title of Derivative Security (Instr. 3)	ivative Conversion Date Execution Date, Tran surity or Exercise (Month/Day/Year) if any Cod				ansaction ode (Instr.	of Derin Secu Acqu (A) o Disp of (D	vative urities uired or oosed)) tr. 3, 4	Exp	ate Exer iration D nth/Day/	ate	Amount of Securities Underlying Derivative Se (Instr. 3 and 4			8. Price of Derivative Security (Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		

Date

05/02/2023(1)

Exercisable

05/02/2023(1)

Explanation of Responses:

\$1.39

1. On May 23, 2022, the reporting person was granted 47,794 restricted stock units, which vest in equal quarterly installments over three years beginning on 8/2/2022

(A) (D)

3,983

Remarks:

Restricted

Stock Unit

<u>/s/ Thomas T. Higgins</u>

Shares

3.983

\$1.<mark>3</mark>9

31,862

05/05/2023

Date

D

Title

Commor

Stock

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/02/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.